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企業客戶投資業務有權交易暨有權確認人員授權書

CORPORATE CLIENTS INVESTMENT BUSINESSES LETTER OF AUTHORIZATION

此致：星展(台灣)商業銀行股份有限公司 (下稱「貴行」)

To：DBS Bank (Taiwan) Ltd (the "Bank")

申請目的

Purpose of Application

☐ 新申請 New application/ 變更授權 Change of Authorization:

本企業客戶投資業務有權交易暨有權確認人員授權書(以下稱「本授權書」)所載有權交易暨有權確認人員之授權。若為新申請，則依本授權書內容辦理；若為變更授權，則將取代本公司先前所提供給貴行關於企業客戶投資業務之有權交易暨有權確認人員的所有授權。為免疑義，除雙方另有合意，本授權書僅適用於本公司與貴行間企業客戶投資業務約定事項(亦包含各項附件，並以其不時修訂、補充、替代及/或取代的版本為準)及/或其他任何貴行不時發布之企業客戶投資業務相關約定或文件(以下合稱「企業客戶投資業務約定文件」)之企業客戶投資業務相關交易及服務，而不適用於或變更或取代任何非受企業客戶投資業務約定文件規範之其他種類之交易及服務之任何授權。

This authorization of authorized traders and authorized confirmation personnel stipulated in this Corporate Clients Investment Businesses Letter of Authorization (this "Letter of Authorization") shall, if for a new application, be handled in accordance with the content of this Letter of Authorization; if for a change of authorization, supersede and replace all prior authorizations and/or mandates concerning the authorized traders and authorized confirmation personnel previously provided by the Company to the Bank in relation to the corporate clients investment businesses. For the avoidance of doubt, unless otherwise agreed by the Company and the Bank, this Letter of Authorization solely applies to transactions and services relating to the corporate clients investment businesses between the Company and the Bank that are governed by the Corporate Clients Investment Businesses Terms and Conditions (including all attachments and annexes thereto, as amended, supplemented, replaced and/or otherwise updated by the Bank from time to time) and/or any other agreements or documents concerning corporate clients investment businesses as published or announced by the Bank from time to time (hereinafter, collectively referred to as "Corporate Clients Investment Businesses Documents"). This Letter of Authorization shall not apply to, nor amend nor replace any other types of transactions and services not governed by the Corporate Clients Investment Businesses Documents.

公司資料 COMPANY INFORMATION

客戶名稱 Customer name

(DBU) 統一編號 Registration Number /
稅籍編號 Tax Certificate ID

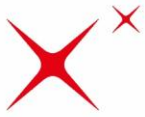
(OBU) 公司註冊號碼
Certificate No. of Corporation

一、特定金錢信託業務 NON-DISCRETIONARY MONEY TRUSTS INVESTMENT BUSINESS

本公司業經內部合法授權程序，授權以下人員中之任何一人，以本公司的名義或代表本公司，辦理本公司與貴行間之特定金錢信託業務相關事宜(包括但不限於透過電話、傳真、電子銀行等電子訊息系統或其他貴行同意之方式發出交易、交割指示及其他相關事宜)

The Company, through internal legal authorization procedures, legally authorizes any **one** of the following persons to handle Non-discretionary Money Trusts Investment Business and relevant matters (including without limited to provide dealing or settlement instructions or other related matters by telephone, fax, electronic banking, electronic messaging system or other methods agreed by the Bank) on behalf of the Company with the Bank.

編號 No.	姓名 Name	電話號碼 Telephone number	電子郵件信箱 Email Address
1			
2			
3			
4			



1. 本公司授權編號 1 之被授權人員有權透過有效的一組行動電話號碼接收貴行發送之交易狀態簡訊通知(若適用)

The authorized person, identified as Number 1, is authorized to receive SMS notifications of transaction status from the Bank via **one** designated mobile phone number (as applicable).

2. 投資交易確認書寄送方式 (二擇一) Delivery method of transaction confirmations (Please select one):

- ☐ (建議選項) 以電子郵件寄送至本公司於開戶申請書所留存之聯絡人的電子郵件信箱 Email to the email address of the Contact Person on the Account Opening Form (suggesting option)
- ☐ 郵寄至本公司留存之通訊地址 Mail to the Correspondence Address

若未指定寄送方式，投資交易確認書將以電子郵件發送。 If none of the delivery method is specified, transaction confirmations will be sent by email.

二、結構型商品交易(含外幣組合投資、黃金外幣組合投資商品)、黃金帳戶交易及外國債券買賣及有價證券保管業務 STRUCTURED PRODUCTS DEALING TRANSACTIONS (INCLUDING DUAL CURRENCY INVESTMENT AND GOLD LINKED DUAL CURRENCY INVESTMENT), GOLD ACCOUNT TRANSACTIONS AND SECURITIES DEALING TRANSACTIONS AND CUSTODY SERVICE

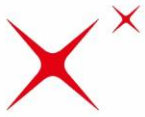
本公司業經內部合法授權程序，合法授權以下人員代表本公司辦理本公司與貴行間之結構型商品交易(含外幣組合投資、黃金外幣組合投資商品)、黃金帳戶交易及外國債券買賣及有價證券保管(以下合稱「交易及服務」)相關事宜。

The Company by way of internal legal authorization procedures, legally authorizes the following persons to handle the structured products dealing transactions (including dual currency investment and gold linked dual currency investment), gold account transactions and securities dealing transactions and securities custody service (hereinafter collectively referred to as "Transaction and Service") and relevant matters on behalf of the Company with the Bank".

1. 授權下列人員中之任何一人，以本公司的名義或代表本公司，向貴行發出關於訂立任何交易及服務的口頭指示（無論通過電話、電子訊息系統或其他方式）：

The Company hereby authorizes any **one** of the following persons to give verbal instructions (whether by telephone, electronic messaging system or otherwise) to the Bank in the name of the Company or on behalf of the Company for purposes of entering into a Transaction and Service with the Bank:

姓名 Name	簽樣 Specimen Signature	電話號碼 Telephone number	電子郵件信箱 Email Address



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2. 授權下列人員中的任何[一]人，以本公司的名義或代表本公司為以下行為：

The Company hereby authorizes any [one] of the following persons to do the following actions in the name of or on behalf of the Company:

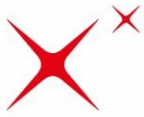
- (a) 簽署、確認、寄送及/或接收由貴行透過本公司及貴行同意之任何溝通或傳送方式(包括但不限於郵件、傳真、電子郵件及其他任何電子方式)所發出的聲明和關於交易及服務的交易確認書及/或確認通知書及/或買賣成交單、交付清單及給付結算憑單及/或其他類似性質之文件(以下合稱「交易確認文件」)，惟外國債券買賣之前述各項聲明及各項交易確認文件之寄送及/或接收依下列第 3(a)點所載方式為之；以及

Signing and confirming, delivering and/or receiving statements and trading confirmations and/or confirmation letters and/or Trade Confirmation, Delivery List, Settlement Certificates and/or other documents of a similar nature in relation to the Transactions and Services issued by the Bank (hereinafter collectively referred to as "Transaction Confirmation Documents") through any communication or transmission methods as agreed between the Company and the Bank (including but not limited to mail, facsimile, electronic mail and any other electronic means). However, the delivery and/or receipt of the aforementioned statements and the Transaction Confirmation Documents for the securities dealing transactions will be made in accordance with the method set out in point 3(a) below"; and

- (b) 簽署關於交易及服務的所有結算指示及/或其他任何指示。

Signing all settlement instructions and/or any other instructions regarding the Transactions and Services.

姓名 Name	簽樣 Specimen Signature	電話號碼 Telephone number	電子郵件信箱 Email Address

**3 · 外國債券買賣相關條文 SECURITIES DEALING TRANSACTIONS RELATED CLAUSES****(a) 外國債券買賣各項聲明及交易確認文件之寄送及/或接收方式之同意**

Agreement on delivery and/or receipt method for statements and the Transaction Confirmation Documents of the Securities Dealing Transactions

關於本公司與貴行之外國債券買賣，本公司茲以勾選下列選項之方式，表示同意與該等交易相關之上述第 2 點所載之各項聲明及買賣成交單、交付清單及給付結算憑單及其他各項交易確認文件之寄送及/或接收方式(二選一)：

Regarding the securities dealing transactions between the Company and the Bank, the Company hereby agrees to the delivery and/or receipt method for the aforementioned statements listed in point 2 above, as well as the Trade Confirmation, Delivery List, Settlement Certificates and/or any other Transaction Confirmation Documents by checking the following options (Please select one):

☐ 本公司同意 貴行以電子郵件方式寄送至本公司於開戶申請書所留存之聯絡人的電子郵件信箱

The Company agrees the Bank to email to the email address of the Contact Person on the Account Opening Form

☐ 本公司同意郵寄至本公司留存之通訊地址

The Company agrees the Bank to mail to the Correspondence Address

若未指定寄送方式，各聲明及買賣成交單、交付清單及給付結算憑單及各項交易確認文件將以郵寄方式發送。

If none of the delivery method is specified, the aforementioned statements listed in point 2 above, as well as the Trade Confirmation, Delivery List, Settlement Certificates and/or any other Transaction Confirmation Documents will be sent by mail.

(b) 外國債券買賣免簽章同意書 Agreement on Waiver of Signature for Securities Dealing Transactions

本公司與貴行承做外國債券買賣之買賣成交單、交付清單及給付結算憑單及其他各項交易確認文件，本公司謹同意貴行於成交日後第二營業日前以上列第 3(a)點所載方式寄送予本公司，並本公司茲以勾選下列選項之方式，表達是否同意該等文件免再經由本公司辦理簽章確認手續。

For the Trade Confirmation, Delivery List, Settlement Certificates and/or any other Transaction Confirmation Documents related to the securities dealing transaction between the Company and the Bank, the Company agrees that the Bank will send them to the Company in the manner specified in point 3(a) above by the second business day after the transaction date. The Company indicates its agreement or disagreement regarding waiving the requirement for the Company to sign and confirm these documents by selecting one of the following options:

本公司 The Company

☐ 同意 Agrees

☐ 不同意 Disagrees

免再經由本公司於買賣成交單、交付清單及給付結算憑單及其他各項交易確認文件上辦理簽章確認手續。若本公司未為勾選，則視為不同意。

to waive the requirement for the Company to sign and confirm the Trade Confirmation, Delivery List, Settlement Certificates and any other Transaction Confirmation Documents. If the Company does not make a selection, it will be considered disagreement.

三、本公司茲此檢附並同意隨時配合貴行之要求提供上述被授權人之身分證明文件(如為中華民國籍者，其身分證正反面影本；非中華民國國籍者，其護照影本與其他政府機關所簽發之得據以確認其姓名/身分證或護照號碼/生日/國籍/居住地址之有效身分證件)並聲明所提供之相關文件均為真實、完整、正確之最新版本文件。

The Company hereby provides and agrees to cooperate the Bank's request from time to time to provide those authorized persons' identification documents (for R.O.C. citizen, the copy of his/her National Identification Card; for non R.O.C. citizen, the copy of his/her passport and other identification documents issued by the relevant government containing the ID No./ passport No., date of birth, nationality and residential address) for the Bank's validation. The Company also represents to the Bank that all of those documents as provided by the Company are true, complete, correct and the most updated.

四、本公司在此認知進行交易及服務的內在風險，並充分接受該交易及服務的一切結果。本公司了解並同意誤解之風險及由未經授權之人給予口頭指示之風險係本公司應自行承擔之風險，貴行對於本公司因此致生之任何損失無須負擔任何責任。本公司並同意貴行應有權利基於獨立判斷，依據貴行對於指示之了解執行任何指示，貴行對於該等指示之解讀對於本公司具有確定之拘束力。

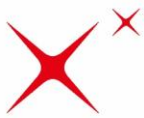
The Company hereby acknowledges the inherent risks involved in the Transactions and Services, and fully accepts all the consequences of such Transactions and Services. The Company hereby recognizes and agrees that the risk of misunderstandings and the risk of oral instructions being given by an unauthorized person is its sole risk and the Bank shall not be liable for any loss which the Company may incur thereby. The Company hereby agrees that the Bank shall be entitled, at its sole discretion, to carry out any instructions as it understood them and the Bank's interpretation of such instructions shall be binding and conclusive on the Company.

五、本授權書所稱「交易及服務」係指：

The term "Transactions and Services" under this Letter of Authorization refers to:

a. 內嵌下列交易的任何結構型交易 (包括但不限於由銀行或任何第三方發行的結構型商品或結構型債券憑證)：

Any structured transaction (including but not limited to structured products or structured debt instruments whether issued by the Bank or any third party) embedded with :



- (i) 以一種或多種利率、貨幣、商品、股權證券、證券指數或其他股權憑證、債券或其他債券憑證、經濟指數或經濟風險或價值的測量方法或其他基準作為付款和交付基礎的遠期、交換、期貨、選擇權、上限、下限、雙限或其他衍生交易；或

A transaction that is a forward, swap, futures, option, cap, floor, collar or other derivative transaction on one or more interest rates, currencies, commodities, equity securities, equity indices or other equity instruments, debt securities or other debt instruments, economic indices or measures of economic risks or values or other benchmarks against which payments and deliveries are to be made; or

- (ii) 任何在上述第 (a)(i)項中所提及的交易的結合；

Any combination of transactions mentioned in Item (a)(i) of the preceding paragraph;

- b. 由銀行或者任何第三方所發行的證券、債券或債券憑證的任何購買或認購以及委託銀行提供上開證券、債券或債券憑證之保管服務。

Any purchase or subscription of securities, debt securities or debt instruments whether issued by the Bank or any other third party, and the subsequent custodial services provided by the Bank for these instruments.

- c. 黃金帳戶交易。

Gold account transactions.

聲明及簽署 DECLARATIONS AND SIGNATURE

公司印鑑章及授權簽章人簽名及/或印鑑章 Company Seal and Authorized Signatory's Signature(s) and/or Chop(s)

(DBU:公司經濟部大小章暨負責人親簽 Company Seal, Authorized Signatory's Seal(s) and Signature(s))

(OBU:被授權人簽章 Authorized Signatory's Signature(s))

日期 Date: 年 月 日